

# FinTech Legal | Fundamentals for Entrepreneurs, Executives, and Investors 2017

October 12, 2017 | Charles Schwab | 211 Main Street | San Francisco, CA 91405

---

FinTech Legal is a half-day workshop designed for non-legal professionals that is part of our [Fundamentals of Banking Law and FinTech executive education program](#).

Are you an entrepreneur, executive or investor currently working, or interested, in FinTech? Then join faculty from Berkeley Law, The Clearing House, Office of the Comptroller of the Currency, the Federal Reserve of San Francisco and more for a half-day workshop exploring the opportunities and risks presented by the various banking regulations governing FinTech.

- AGENDA |
- 12:45 – 1:30 Lunch & Keynote Remarks: Regulatory Perspectives on Banking & FinTech**  
*[Beth Knickerboxer](#) (Chief Innovation Officer, Office of the Comptroller of the Currency)*
  - 1:30 – 2:30 Banking Regulation and Regulators: The Basics**  
*[Jeremy Newell](#) (General Counsel of The Clearing House)*
  - 2:30 – 3:30 The Devil You Know: Working with Lawyers in a Regulated Industry**  
*[Paul Clark](#) (Partner at Seward & Kissell LLP)*
  - 3:30 – 3:45 Break**
  - 3:45 – 4:45 Regulatory Consequences of Being a Bank Vendor**  
*[Jerry Tsai](#) (Director, Applications, Enforcement & Fintech, The Federal Reserve Bank of San Francisco)*
  - 4:45 – 5:45 Fintech Bank Charters and Other Hot Topics**  
*[Mike Nonaka](#) (Partner at Covington & Burling LLP)*
  - 5:45 – 6:30 Perspectives from FinTech**  
*[Russ Elmer](#) (General Counsel, Lending Club)*
  - 6:30 – 7:30 Cocktail Reception**

---

## **When/Where**

Thursday, October 12, 2017  
12:45PM to 7:00PM (includes cocktail reception)  
Charles Schwab  
211 Main Street  
San Francisco, CA 94105

---

## **Tuition**

### **Half-Day FinTech Legal | Fundamentals for Entrepreneurs, Executives and Investors:**

Government and Public Interest Employees, UC Berkeley Alumni – \$450

General Public – \$550

*(Attorneys interested in only the Half-Day course, must contact [BCLBE@law.berkeley.edu](mailto:BCLBE@law.berkeley.edu) for approval)*

### **Early Registration for Three-Day Program (includes FinTech Fundamentals) ([click here](#) for additional program details):**

Government and Public Interest Employees, UC Berkeley School of Law Alumni – \$1,500

General Public – \$1,700

### **General Registration for Three-Day Program (includes FinTech Fundamentals) ([click here](#) for additional program details):**

Government and Public Interest Employees, UC Berkeley School of Law Alumni – \$1,700

General Public – \$1,900

Refunds, minus \$25 to cover administrative costs, are possible by September 1. No refunds will be given after this date.

---

## **Registration Information**

Applicants may register online here:

<https://www.regonline.com/fundamentalsofbankinglaw2017>

For more information, please contact the Berkeley Center for Law, Business and the Economy at [BCLBE@law.berkeley.edu](mailto:BCLBE@law.berkeley.edu) or by calling 510-642-0532.